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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/044,422	01/11/2002	Teruhiko Kori	7217/66290	1067

530 7590 05/26/2006

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WESTFIELD, NJ 07090

EXAMINER
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CERVETTI, DAVID GARCIA

ART UNIT	PAPER NUMBER
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2136

DATE MAILED: 05/26/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/044,422

Applicant(s)

KORI, TERUHIKO

Examiner

David G. Cervetti

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2136

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 09 March 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1,2,4-7,10 and 11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,2,4-7,10 and 11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 11 January 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
  - 2) ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- |                                                                                                    |                                                                             |
|----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)               | Paper No(s)/Mail Date. _____                                                |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>3/9/06</u>                                                                | 6) <input type="checkbox"/> Other: _____                                    |

### **DETAILED ACTION**

1. Applicant's arguments filed March 9, 2006, have been fully considered but they are not persuasive.
2. Claims 1-2, 4-7, and 10-11 are pending and have been examined, claims 3, 8-9, and 12-17 have been cancelled.

### ***Response to Amendment***

3. Examiner respectfully submits that Sullivan et al. (US Patent Number 6,069,647, hereinafter "Sullivan") teaches an apparatus having more than one communication channel (programmable unit is a digital platform such as a computer or any output unit having a display...) (column 5, lines 44-55). A computer, a set-top computer, and a video cassette recorder are known to have more than one communication channel (ports). If a set-top box is assumed, it clearly has more than one communication channel, since it receives input through one port, and sends output to the television set. Applicant's arguments are not persuasive.
4. Furthermore, Examiner respectfully submits that Sullivan teaches a display section (as correctly pointed out by Applicant on the above reference response), perhaps not as part of the interface unit, but does teach combining the interface unit and the programmable unit into one apparatus (column 4, lines 40-65). Applicant's arguments are not persuasive.

### ***Continued Examination Under 37 CFR 1.114***

5. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this

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application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114.

***Claim Rejections - 35 USC § 102***

6. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

**7. Claims 1-2, 4-7, and 10-11 are rejected under 35 U.S.C. 102(a) as being anticipated by Sullivan.**

**Regarding claim 1,** Sullivan teaches an electronic transmission apparatus, comprising:

- first and second communication means to be connected to a signal transmission line, wherein said signal transmission line interconnects a transmitting electronic device and a receiving electronic device (column 4, lines 1-67);
- authentication process means for executing a first authentication process with said transmitting electronic device via said first communication means (column 7, lines 58-67, column 8, lines 1-60);
- decryption means for decrypting an encrypted signal transmitted by said transmitting electronic device (column 5, lines 1-67);
- processing means for executing an operation process with a decrypted signal obtained by decrypting said encrypted signal at said decryption means (column 5, lines 1-67),

- wherein said authentication process means supplies key information for decrypting said encrypted signal supplied from said transmitting electronic device based on a result of said first authentication process (column 5, lines 1-67, column 8, lines 1-60),
- said first communication means supplies said encrypted signal supplied from said transmitting electronic device connected through said signal transmission line to said decryption means and said second communication means (column 4, lines 40-47), and
- said second communication means enables said receiving electronic device to receive said encrypted signal and said key information and to execute a second authentication process with said receiving electronic device (column 5, lines 1-67, column 8, lines 1-60);
- a section configured to receive the decrypted signal and display or record the received decrypted signal (column 4, lines 30-67);
- encryption means for re-encrypting said decrypted signal and for supplying said re-encrypted signal to said second communication means (column 5, lines 1-67); and
- signal switching means for selecting for output to said second communication means of said encrypted signal transmitted by said transmitting electronic device and said re-encrypted signal by said encryption means in response to said second authentication process (column 7, lines 58-67, column 8, lines 1-60).

**Regarding claim 2**, Sullivan teaches wherein said authentication process means supplies key information for authentication of said receiving electronic device and decryption of said encrypted signal from said transmitting electronic device to said receiving electronic device based on a result of said second authentication process (column 7, lines 58-67, column 8, lines 1-60).

**Regarding claim 4**, Sullivan teaches wherein said authentication process means executes said second authentication process with said receiving electronic device through said receiving communication means and supplies to said receiving electronic device said key information for decrypting said signal selected by said signal switching means based on a result of said second authentication process (column 5, lines 1-67, column 7, lines 58-67, column 8, lines 1-60, column 9, lines 66-67, column 10, lines 1-5).

**Regarding claim 5**, Sullivan teaches wherein a signal selecting operation of said signal switching means is executed based on a selection signal supplied from said transmitting electronic device through said first communication means (column 4, lines 1-67, column 5, lines 1-67).

**Regarding claim 6**, Sullivan teaches operation input means for conducting a switching operation of said signal switching means (column 4, lines 1-67, column 5, lines 1-67).

**Regarding claim 7**, Sullivan teaches

- a signal transmission method comprising the steps of:

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- executing a first authentication process with a transmitting electronic device connected via a signal transmission line for connecting said transmitting electronic device and a receiving electronic device (column 7, lines 58-67, column 8, lines 1-60);
- executing an operation process using a decrypted signal obtained by decrypting an encrypted signal supplied from said transmitting electronic device with key information supplied from said transmitting electronic device based on a result of said first authentication process (column 4, lines 1-67, column 5, lines 1-67);
- executing a second authentication process with said electronic device connected via said signal receiving transmission line (column 5, lines 1-67);
- displaying or recording said decrypted signal (column 4, lines 30-67);
- re-encrypting said decrypted signal (column 5, lines 1-67); and
- routing the re-encrypted signal to another device via another signal transmission line (column 5, lines 40-55); and
- selecting for transmission to said receiving electronic device one of said encrypted signal and said re-encrypted signal in response to said second authentication process (column 5, lines 1-67).

**Regarding claim 10**, Sullivan teaches wherein said selecting of either said re-encrypted signal or said encrypted signal supplied from said transmitting electronic

device is executed based on a signal supplied from said transmitting electronic device (column 4, lines 1-67, column 5, lines 1-67).

**Regarding claim 11**, Sullivan teaches wherein said selecting of either said re-encrypted signal or said encrypted signal supplied from said transmitting electronic device is executed based on an operation result of an operation input means (column 4, lines 1-67, column 5, lines 1-67).

### ***Conclusion***

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. US Patent 6,061,451 to Muratani et al., US Patent 6,128,605 to Saito et al., US Patent 6,252,964 to Wasilewski et al., US Patent 6,834,110 to Marconcini et al., US Patent 6,188,397 to Humpleman.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to David G. Cervetti whose telephone number is (571) 272-5861. The examiner can normally be reached on Monday-Friday 7:00 am - 5:00 pm, off on Wednesday.

10. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz R. Sheikh can be reached on (571) 272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

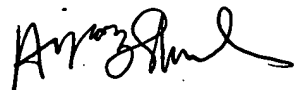
11. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only.



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For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

DGC



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